

Development of a Web Application for the Comparison of Medical Diagnoses

Appendix: User Guide

Course of study: Medical informatics

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1 Introduction

This document is part of the bachelor thesis of the students Roger Tschanz and Moritz Kündig of the Bern University of Applied Sciences BFH. It describes the use of the web application "Armin2.0" developed during the project. The web application measures the distances between single and set diagnosis using several algorithms, divided into the levels "information content (IC)", "code-level similarity (CLS)" and "set-level similarity (SLS)". The supported classifications are ICD-9 WHO, ICD-10 WHO and ICD-10 GM.

Armin has been designed and tested for use with Google Chrome (version: 87.0.4280.141) and Microsoft Edge (version: 87.0.664.75) on Windows 10. The application also runs in other browsers such as Firefox and Brave and on other operating systems such as MacOS and Ubuntu, but the latter are not officially supported. In case certain functionalities like uploading do not work, switch to Windows 10 and the respective Google Chrome or MS Edge versions.

The application is available at the following URL: www.right-icd.de

2 User Guide

2.1 Start Page / Login-Window / Registration

The start page appears in the following format. If you are no user yet, create a new user via the "Register" field. Then click "Register" to confirm the process. To register, you need your first and last name, a unique email address, a password of at least 8 characters and the name of your medical institution (see Figure 1:).

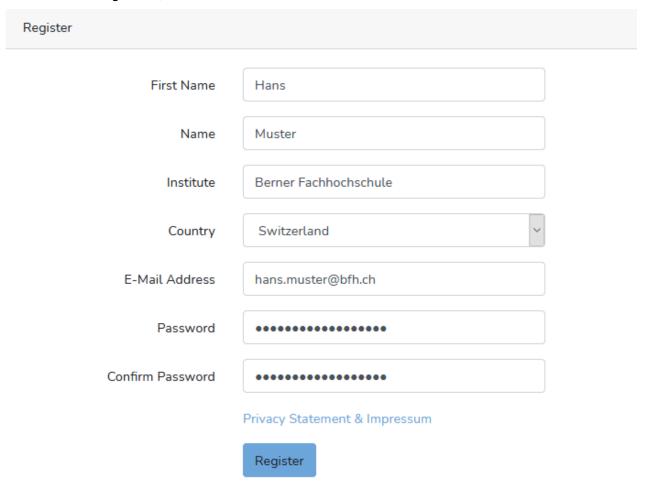


Figure 1: Registration Screen

If you are already registered, please login by entering your email address and your password on the login page (see Figure 2: Login).

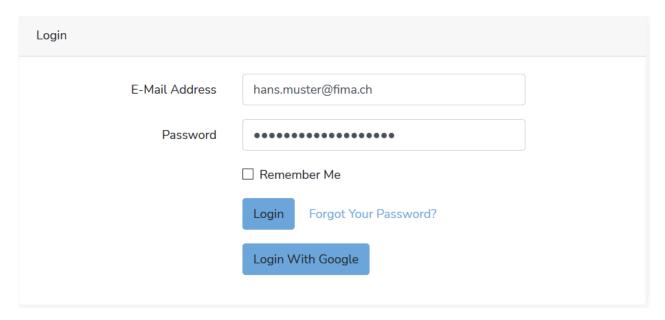


Figure 2: Login Screen

Alternatively, you can also log in via your existing personal Google account. In this case, you are redirected to the Google login page.

2.2 Dashboard

After a successful login, you are redirected to the dashboard. This page lists all personal datasets on the left side and the available classifications on the right side. In Figure 3, a dataset has already been created and the user has access to a classification (see Figure 3: Dashboard).

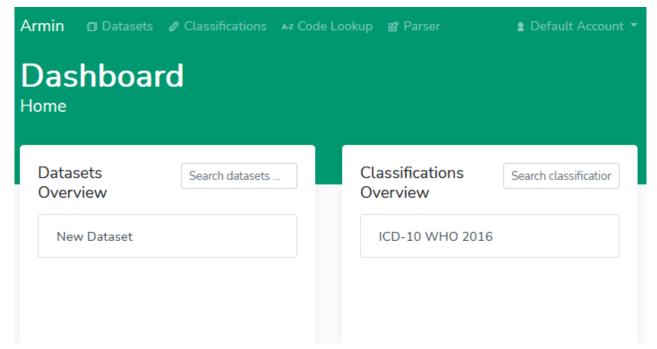


Figure 3: Dashboard Screen

2.3 Navigation

Armin2.0 can be navigated by using the menu in the header (i.e. on top of the page) and in the footer (i.e. at the bottom of the page). The main menu in the header has the following five options (see Figure 4: Menu View in the Header):

- 1. "Datasets" to manage and run datasets.
- 2. "Classifications" to add new classifications, e.g. a new ICD-10 version, or to examine existing classifications.
- 3. "Code Lookup" to search the uploaded classifications.
- 4. "Parser" to parse free text diagnoses into ICD codes.

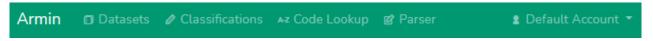


Figure 4: Menu View in the Header

You can also access the menu items via the footer. In addition, you find the imprint and the privacy policy here (see Figure 5: Menu View in the Footer).



Figure 5: Menu View in the Footer

2.4 Operate Datasets

Access the "Datasets" module via "Datasets". The module enables the upload of datasets containing diagnoses to be compared. After uploading, you can run any analyses with a dataset.

2.4.1 Add a Dataset

After clicking "Add Dataset", the form for uploading a new dataset opens (see Figure 6: Form View for Adding).

The analysis name and the classification are required fields. All ICD classifications which have codes and have been generated in this application are available under "Classifications". In Figure 6, the ICD-10 WHO Version 2016 is selected. Inserting a description of the dataset is optional.

You can then add a CSV file including the diagnoses by moving it into the "Drag and drop file here" field. The file is validated, marked by a green field if successful, and can then be configured (see Figure 7: Upload View for).

If the uploaded CSV file has headers, please select the field "Has headers" (see the red frame in Figure 7). Then map the columns from the CSV file manually.

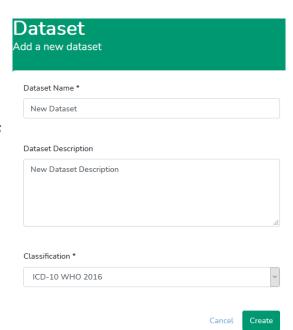


Figure 6: Form View for Adding Datasets

Strictly observe the meaning of the fields in the following mapping

	Application Name	Description	Optional	Comment	
1	Patient ID	The patient- identification	No	Can be any patient ID as an integer, e.g. 1, 2, 3, 4, etc.	
2	Code	The ICD-code	No	The ICD code must be present in the selected classification of the dataset, in this case 'ICD-10 WHO 2016'. Otherwise, the calculation cannot be made.	
3	Set ID	The set-ID	No	Indicates to which set the ICD code in column 2 (above) belongs to. This can be presented as any integer, e.g. 1,2,3,1,3, etc.	
4	Code main	Main diagnosis	No	Indicates whether the ICD-code is a principal diagnosis. Value 1 = principal diagnosis, value 0 = no principal diagnosis	
5	Code current	Current diagnosis	Yes	Indicates whether the ICD code is a current diagnosis to be taken into account in the calculation. Value 1 = current diagnosis, value 0 = no current diagnosis	
6		Suspected diagnosis	Yes	Indicates whether the ICD code is a suspected diagnosis to be taken into account in the calculation. Value 1 = suspected diagnosis, value 0 = no suspected diagnosis	
7	Code Excluded	Exclude diagnosis		Indicates whether the ICD code is a current diagnosis to be taken into account in the calculation. Value 1 = exclude diagnosis, value 0 = include diagnosis.	

Table 1: Structure of a Diagnosis Set

The following list shows examples of valid and invalid CSV file formats.

	·
✓ Valid format	patid;code;set;main;current;suspected;e
	123;S05.0;1;1;1;0;0
Semicolon separation is	123;S05.0;2;1;1;0;0
allowed	abc;Z72.0;1;1;1;0;0
	abc;F10.1;1;0;1;0;0
	abc;Z72.0.9;2;0;1;0;1
✓ Valid format	123;505.0;1;1;1;0;0
	123;S05.0;2;1;1;0;0
No column labelling	abc;Z72.0;1;1;1;0;0
required	abc;F10.1;1;0;1;0;0
	abc;Z72.0.9;2;0;1;0;1
✓ Valid format	patid;code;set;main;current;suspected;excluded;name
	123;S05.0;1;1;1;0;0;Max
Additional columns are	123;S05.0;2;1;1;0;0;Max
allowed but not	abc;Z72.0;1;1;1;0;0;Marta
recommended	abc;F10.1;1;0;1;0;0;Marta
	abc;Z72.0.9;2;0;1;0;1;Marta
✓ Valid format	patid;code;set;main
,	123;S05.0;1;1
Only the obligatory four	123;505.0;2;1
columns are required	abc;Z72.0;1;1
	abc;F10.1;1;0

abc;Z72.0.9;2;1

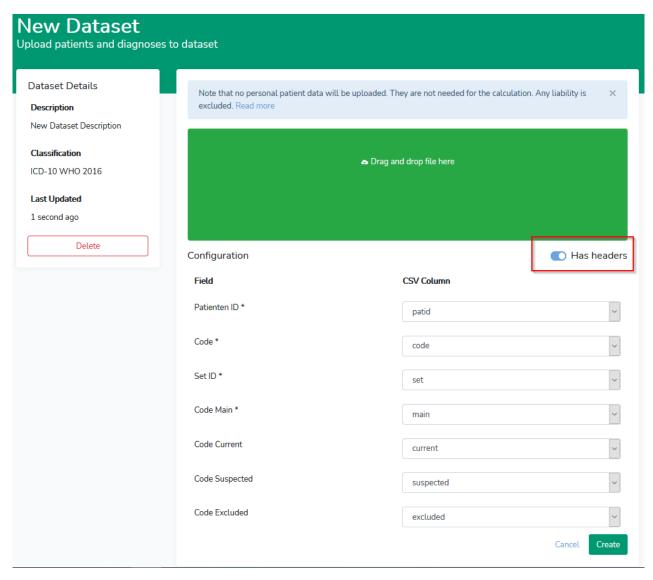


Figure 7: Upload View for Datasets

<u>Important note</u>: Only upload completely anonymised files, which do not allow any conclusions to be drawn about the patients. A similar note is also displayed above the "Drag and drop file here" field.

2.4.2 Detailed Dataset View

After creating the dataset via "Create", you are redirected to the detailed view. You can also select and display the detailed view via the "Dataset" item in the main menu. In this view, you can also display the individual and set diagnoses of the respective patients via "Show Patients" (see Figure 8: Detailed View of a Dataset). In addition, the presentation of the different "diagnosis types" is explained.

By clicking "Start analysis", the analysis is created (see red frame Figure 8). Another form opens before the analysis is run (see Figure 9: Form View for s).

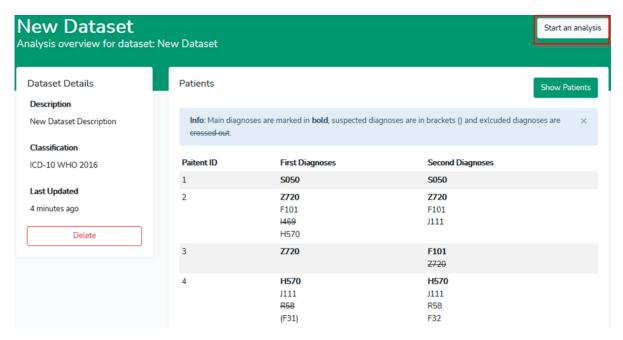


Figure 8: Detailed View of a Dataset

2.5 Operate Analysis

Any number of analyses can be run based on one dataset. On the other hand, an analysis is always based on only one uploaded data set including its classification. If, for example, a dataset is to be compared with another classification version, a new dataset must be created to run this analysis.

2.5.1 Run Analysis

The following information is required to create a new analysis:

- 1. The analysis name
- 2. An analysis description is optional.
- 3. The algorithms to be used for comparison. In below example, the algorithms are "Level" at IC level, "Wu and Palmer" at CLS level and "Jia et al." at SLS level.

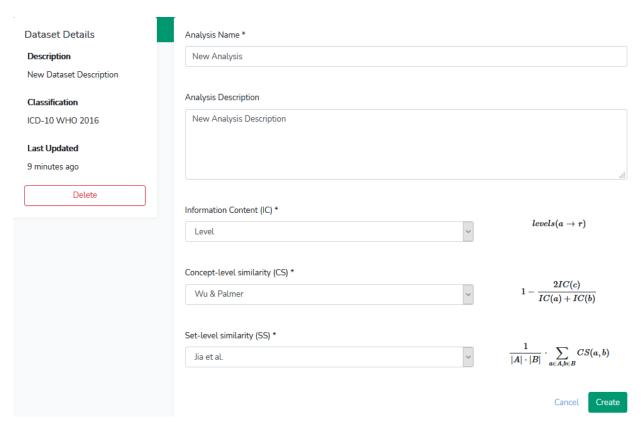


Figure 9: Form View for Adding Analyses

No.	Algorithm and Comments
IC.01 level	The level of the ICD tree is taken as the value.
	Here: 0 is Root, i.e. ICD-10 GM and 5 are the lowest level
	This formula fits better than IC.02 to separate different concepts more clearly
	under the same chapter [1].
IC.02 ontology-	Definitions:
based score	Subsumer: All parent nodes above up to and including the root level.
	• The leaves(r) are all leaves or codes of an ICD tree, the leaves(a) are all
	leaves below of the code to be determined.
	This formula has a better selectivity at chapter level than the level-based
	approach of the IC.01 formula [1].
CLS.01 binary	The binary formula gives the result 0 or 1.
	• 0 = the diagnosis are equal
	• 1 = the diagnoses are unequal
CLS.02 Wu and	Definitions:
Palmer	 Variable c = least common superconcept (LCA)
	• The numerator determines where the formulas "come together".
	Context:
	• 0 = the diagnoses are close together or equal
	• 1 = the diagnoses are far apart or unequal
CLS.03 Li et al.	Context: Inverse to Wu and Palmer and binary
	• 0 = the diagnoses are far apart or unequal
	• 1 = the diagnoses are close together or equal
	Alpha and beta are constants and are used for scaling. They are set to alpha =
	0.2 und beta = 0.6 in this implementation, according to Jia et al. [2].
CLS.04 Steps	Counts the steps between the first and second diagnosis by using the LCA.
SLS.01 Dice	This formula calculates the arithmetic mean of sets A and B.
	A ∩ B contains all diagnoses in Set A and B
	Context:
	• 0 = close together or the sets are identical
	• 1 = far apart or the sets are unequal.
SLS.02 Jaccard	Context:

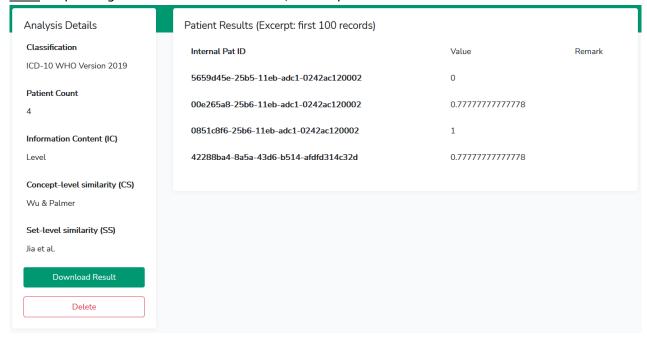
	 0 = close together or the sets are identical. 1 = far apart or the sets are unequal.
SLS.03 Cosine	Similar formula as SLS.01, but calculates the geometric mean.
	In theory, the geometric min. is equally exact or more exact than the arithmetic
	mean.
SLS.04 Overlap	Calculates the cardinality of the intersection of both sets A and B by the
	cardinality of the smaller set.
SLS.05 Jia et al.	The double sum calculates the distances of each diagnosis a from Set A with
	each diagnosis b from Set B. The average is then calculated from this sum.

Table 2: Implemented Algorithms Including Comments [1, 3]

2.5.2 Run Analysis

Click Create to run the analysis and to calculate the results of the previously selected algorithms. The "Analysis Details" field lists which algorithms were used and offers the possibility to download the results. The field "Patient Results" shows a section of the results and, if a calculation could not be performed, a comment.

Note: Depending on the size of the CSV files, this step can take a few minutes.



2.5.3 Download Results

The results can be downloaded as a ZIP archive which contains 4 files:

- 1. The results of the IC hierarchy (*-ci.csv)
- 2. The CLS results (*-cls.csv)
 - The similarities of the individual diagnoses are compared here. All individual diagnoses of the first set are compared with all individual diagnoses of the second set in the format of a cartesian product.
- 3. The SLS results (*-sls.csv)
 - The results of the compared diagnosis sets are displayed here, congruent with the "Patient Results" field in the application.
- 4. A readme-file (Read me.txt)
 - General information and metadata from the analysis are listed here, e.g. the analysis date and the analysis details.

2.6 Operate Classifications

Classifications can be uploaded in this module. You need them to determine on which classification the diagnoses to be compared are based.

2.6.1 Prepare Classifications

Currently, any user can create a classification. You need a CSV with the three columns: Code, Code Name and the respective Parent. The process depicted below is specific to the ICD-10 GM 2018 classification and may differ if you obtain the data from a different source or if you follow the process using a different classification. It is important in any case that you follow the principles in Table 2: Structure of the Classification Data for Upload:

Column	Application Name	Optional	Description and Comment
1	Code	No	The respective ICD code, e.g. A41. This code must be unique.
2	Code name	No	A corresponding name for the code, e.g. "Other sepsis".
3	Parent	No	The parent object is empty if the respective code is a chapter, i.e. it is on the top level.
			Otherwise, the parent indicates the direct parent node, e.g. "A30-A49" for other bacterial diseases. A reference to a parent node is only possible if the corresponding 'code' already exists in the database.

Table 2: Structure of the Classification Data for Upload

You can access the data for ICD-10 GM 2018 here: https://www.dimdi.de/dynamic/.downloads/klassifikationen/icd-10-gm/vorgaenger/icd10gm2018.zip

To view the data, extract the zip file after downloading and search it for the systematic directory including the CSV files. According to the readme naming convention you recognise the file as follows:

1. bis 3. Stelle	Klassifikation u. Band
x1g	ICD-10-GM Systematik
x3g	ICD-10-GM Alphabet
x1w	ICD-10-WHO Systematik (Band 1)
x2w	ICD-10-WHO Regelwerk (Band 2)
x3w	ICD-10-WHO Alphabet (Band 3)
p1s	OPS Systematik
p2s	OPS Alphabet

_
Fassung
Aktualisierungsliste
Buchfassung
EDV-Fassung
Metadaten
Regelwerk
Überleitung
Formet
Format
HTML
ODT
PDF
RTF
TXT (CSV))
ClaML/XML

Figure 10: Extract from the File Index from the ICD-10 GM 2018 Download

From the x1gmt folder you need the data of the chapters, groups and codes:

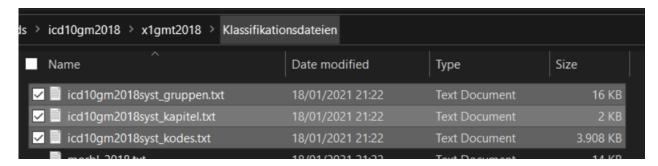


Figure 11: Chapter, Groups and Classification Files

Transfer the three tables into three sheets in Excel. To do that, use the "Import data from Text/CSV" function under the "Data" tab (see Figure 12: Selecting the "Import from Text/CSV" Function in Excel). When importing you must set the separator according to the source file. Then set the correct character set to prevent errors with umlauts. (see Figure 13: Extract from the Import Function with Selected Parameterss).



Figure 12: Selecting the "Import from Text/CSV" Function in Excel



Figure 13: Extract from the Import Function with Selected Parameters

After the import, the sheets should look as follows. Their names have been changed for easier understanding:



Figure 14: Extract of the Excel sheets after the import

Excel automatically sets headers because none were set in the file. The headers of the individual files are listed in the readme file of the x1gmt folder.

Now merge all three sheets into a new one as described in the following steps. Start with the chapters, then add the groups and finally add the codes. Strictly keep to this order when adding the codes so that the chapters and groups are imported first and there is no error within the application. It is also important that each code is unique per classification.

Chapters: Copy the chapters as they are. Leave the column "Parent" empty:

Α		В	C	D	Е		
Code		Name	Parent				
	1	Bestimmte ir	ıfektiöse und	parasitäre Kr	ankheiten		
	2	Neubildunge	n				
	3	Krankheiten	des Blutes un	d der blutbild	lenden Organ	e s	
	1 Endakrina Ernährungs- und Stoffwachsalkrankhaitan						

Figure 15: Extract of the New Sheet after Transferring the Classifications

Groups: The sheet with the groups consists of the following fields:

- Column 1: First three-digit of the group, 3 characters
- Column 2: Last three-digit of the group, 3 characters
- Column 3: Chapter number, max. 2 characters
- Column 4: Group title, up to 255 characters

Edit the four columns as follows to obtain three columns:

- New column 1: Combine columns 1 and 2 from above by using a hyphen, e.g. 'A00-A09'.
- New column 2: Use the group title from column 4, i.e. "Infectious intestinal diseases".
- New column 3: Use column 3, i.e. the chapter number.

Then attach the three columns to the chapter data from above. The chapter number of the third column should point to the respective parent chapter above:

	Α	В	C	D	
1	Code	Name	Parent		
2	1	Restimmte infektiöse und parasitäre Krankheiten			
3	2	Neubildungen			
4	3	Krankheiten des Blutes und der blutbildenden Organe sowie bes	timmte	Störungen m	it Bete
		Adject of Sacriff and Months and			
22	21	Faktoren, die den Gesundheitszustand beeinflussen und zur Inar	nspruch	nahme des G	esunc
23	22	Schlüsselnummern für besondere Zwecke			
24	A00-A09	Infektiöse Darmkrankheiten	_ 1		
25	A15-A19	Tuberkulose	1		
26	A20-A28	Bestimmte bakterielle Zoonosen	1		
27	A30-A49	Sonstige bakterielle Krankheiten	1		
28	A50-A64	Infektionen die vorwiegend durch Geschlechtsverkehr ühertrage	1		

Figure 16: Extract of the New Spreadsheet after Transferring the Groups

Codes: Note that the sheet with the codes contains all codes from level 3 to 5. To create the new sheet, you need the following columns:

- Column 1: Classification level, 1 character
- Column 4: Chapter number, max. 2 characters
- Column 8: Key number without period, dash, asterisk and exclamation mark, up to 5 characters
- Column 9: Class title composed of components of the titles of the three-digit, four-digit and five-digit codes, if any, up to 255 characters.

Edit the four columns as follows to obtain three columns:

- New column 1: Use column 8 as is.
- New column 2: Use column 9.
- New column 3: If the code in column 1 is in classification level 3, create a VLOOKUP on the group table to get to the composite group code, e.g. 'A00-A09'. If the classification level is greater than 3, use column 8 and delete the last character.

The final result must look as follows:

	264	U99-U99	Nicht belegte Schlüsselnummern	22	
	265	A00	Cholera	A00-A0	9
	266	A000	Cholera durch Vibrio cholerae O:1, Biovar cholerae	A00	
	267	A001	Cholera durch Vibrio cholerae O:1, Biovar eltor	A00	
	268	A009	Cholera, nicht näher bezeichnet	A00	
	269	A01	Typhus abdominalis und Paratyphus	A00-A0	9
90%	270	A010	Typhus abdominalis	A01	
	271	A011	Paratyphus A	A01	
2021	272	A012	Paratyphus B	A01	

Figure 17: Extract of the new spreadsheet after transferring the codes

Save the new sheet as a CSV file to get it ready for upload.

2.6.2 Add a Classification

After clicking on "Add classification", the form for uploading a new classification opens.

You need a name and the language; currently English and German are available. Adding a description is optional.

Then upload the CSV file prepared in chapter 2.8.1 Prepare Classifications via the "Drag and drop file here" field. The file is validated, marked with a green field if successful and can then be configured.

If the uploaded CSV file has headers, select the field "Has headers".

Then manually select the columns containing the ICD code, the concept name and the parent node. You can only continue when you have selected three different columns. Complete the classification by clicking on "Create".

Note: The upload and allocation can take a few seconds to several minutes depending on the size of the file.

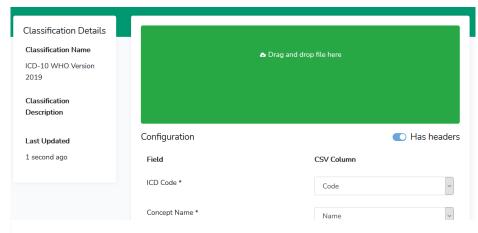


Figure 18: Upload Classification Part 2

2.7 Operate Code Lookup

This module allows to search for ICD codes or names in the ICD classifications that have been added to "Armin".

Note: You can also use the Code Lookup via the 'Classifications' module.

First select the classification in the field on the left, see red frame in Figure 19: Code-Lookup.

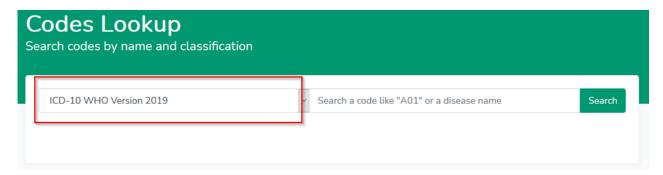


Figure 19: Code-Lookup View

You can then search for an ICD code, a disease name or a keyword in the field on the right. The following formats are supported:

- A01: A search of a three-digit code returns all 3, 4 and 5-digit codes A01, i.e. A01, A010, A011, A012 etc. in the example below.
- A010: A search of a four-digit code returns all 3-digit and 4-digit codes.
- The keyword search returns all codes that contain this word in any form. For example, "typhoid" returns codes with "paratyphoid" and "tyhpus abdominalis".
- The keyword search for "paratyphoid", on the other hand, only returns codes with this keyword.

In the following example, "A01" is searched for (see Figure 20: View Search Results under "Code Lookup"). The columns "Level" and "Ontology-based Score" show the corresponding IC of the code.

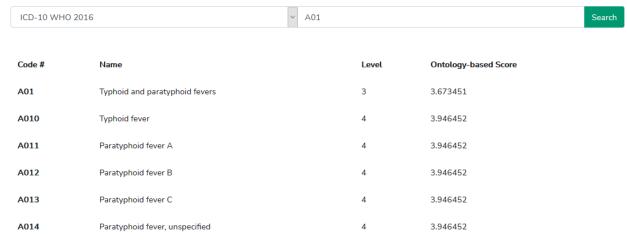


Figure 20: View Search Results under "Code Lookup"

Note: The text search is not case sensitive.

2.8 Operate Parser

This module enables the parsing of free text diagnoses into ICD codes.

Important to note:

- This parser is simply an interface that sends the entered diagnostic texts to an API of an existing parser of the UNZ University Emergency Centre; there the texts are parsed and then the ICD codes are sent back to "Armin application". The API is available (as of December 2020) at https://www.covidtrack.ch/parser.
- The authors of the Armin2.0 application had no influence on the functioning and internal processes of the parser. For further questions, please use the contact information provided in the imprint.
- The parser currently only supports the parsing of German diagnosis texts of the ICD classification ICD-10 GM.

The result contains the following 6 columns. The columns are defined similarly to those in Table 1: Structure of a Diagnosis Set.

- 1. ICD-10 code
- 2. Code-text
- 3. Selection of whether the diagnosis is a principal or secondary diagnosis
- 4. Display whether the diagnosis is up to date.
- 5. Display whether the diagnosis is a suspected diagnosis.
- 6. Display whether the diagnosis can be omitted.
 Usually activated when the free text contains a negex such as "none" or "without".

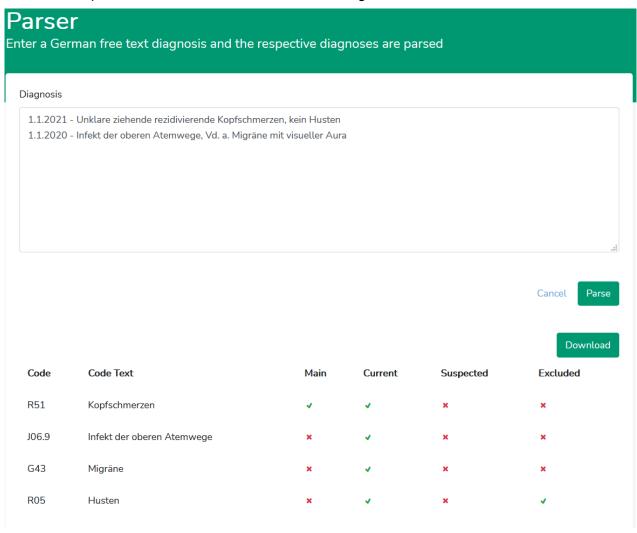


Figure 21: Diagnosis-Parsing Screen

2.9 Imprint und Privacy

These menu items contain general information about the operator of this website, the "Terms and Conditions" and the setting of privacy options.

3 Literature

References

- 1. Jia Z, Lu X, Duan H et al. Using the distance between sets of hierarchical taxonomic clinical concepts to measure patient similarity. *BMC Med Inform Decis Mak* 2019;19(1):91.
- 2. Jia Z, Zeng X, Duan H et al. A patient-similarity-based model for diagnostic prediction. *International Journal of Medical Informatics* 2020;135:104073.
- 3. Girardi D, Wartner S, Halmerbauer G et al. Using concept hierarchies to improve calculation of patient similarity. *J Biomed Inform* 2016;63:66-73.